

Dirac operators in Graphene

Hanne Van Den Bosch

EMALCA, 12 de enero 2022

Outline

- Operators for Solid State Physics
- Bloch bands and Dirac points in graphene
- Bounded pieces of graphene

Based on joint work with Rafael Benguria, Søren Fournais and Edgardo Stockmeyer.

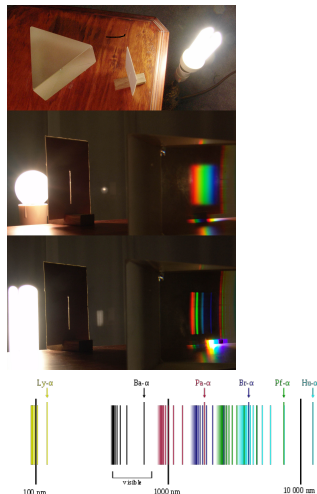
Quantum Mechanics

$$\mathbb{C}^4$$
$$L^2(\mathbb{R}^3) \langle f, g \rangle = \int \bar{f} g$$

- States : \mathcal{H} Hilbert space
- An Hamiltonian H : self-adjoint
- Schrödinger's equation

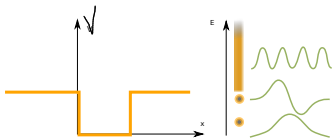
$$i\partial_t \Psi_t = H\Psi_t.$$

- Interpretation ?
- Spectral theorem



J. Bricmont, Making Sense of Quantum Mechanics, Springer

Spectral Theory



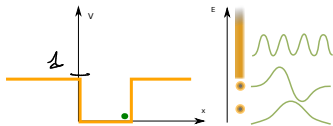
- Eigenvalues: $H\psi = \lambda\psi$

Spectral Theory

$\forall N \in \mathbb{N} \quad \psi_N \in \mathcal{H} \quad (\psi_N)_j = \begin{cases} \frac{e^{ipj}}{\sqrt{2N+1}} & \text{si } N^2 \leq j \leq N^2 + 2N \\ 0 & \text{si no} \end{cases}$

$(H\psi_N)_j = \begin{cases} \frac{e^{ip(j-1)}}{\sqrt{2N+1}} + \frac{e^{ip(j+1)}}{\sqrt{2N+1}} & \text{si } j = N^2, N^2+1, \dots, N^2+2N-1 \\ \frac{e^{ipj}}{\sqrt{2N+1}} & \text{si } j = N^2+2N \end{cases}$

$\dots - (N^2) - (N^2+1) - \dots$



- Eigenvalues: $H\psi = \lambda\psi$ Weyl
- Essential spectrum: $\|(H - \lambda)\psi_n\| \rightarrow 0, \|\psi_n\| = 1, \psi_n \perp \psi_m \quad n \neq m$

$$\langle \psi_n, \psi_m \rangle = 0$$

Exempl

$$\mathcal{H} = \ell^2(\mathbb{Z})$$



$$H_{ij} = \begin{cases} 1 & |i-j|=1 \\ 0 & \text{else} \end{cases}$$

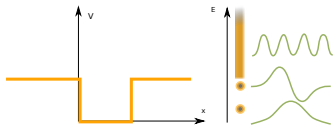
$$(Ha)_j = a_{j+1} + a_{j-1}$$

$$\begin{pmatrix} 0 & 1 & 0 & 0 \\ 1 & 0 & 1 & 0 \\ 0 & 1 & 0 & 1 \\ 0 & 0 & 1 & 0 \end{pmatrix}$$

$$\|(H - 2\cos(p))\psi_N\|^2 = \frac{4}{2N+1}$$

$$p \in [-\pi, \pi]$$

Spectral Theory



- Eigenvalues: $H\psi = \lambda\psi$
- Essential spectrum:
 $\|(H - \lambda)\psi_n\| \rightarrow 0, \|\psi_n\| = 1,$
 $\psi_n \perp \psi_m$
- Periodic potentials ?

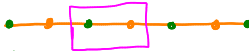
Bloch-Floquet Theory

$$\langle U\psi, U\psi \rangle = \langle \psi, \psi \rangle$$

A unitary transformation to a family of operators on the states for a single cell, depending on a parameter in the dual lattice.

Example

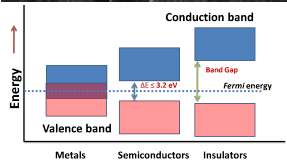
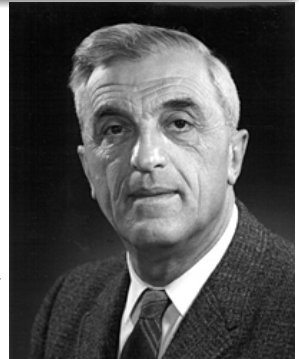
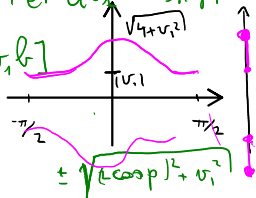
$$H_j = \begin{cases} v_1 & i=j = \text{even} \\ -v_1 & i=j = \text{odd} \\ 1 & |i-j|=1 \\ 0 & \text{else} \end{cases}$$



$$\psi_j = e^{ipj} \begin{cases} a & \text{if } j \text{ is even} \\ b & \text{if } j \text{ is odd} \end{cases}$$

$$(H\psi)_j = \begin{cases} (e^{ip(j-1)} + e^{ip(j+1)})b + e^{ipj}a & \text{if } j \text{ is even} \\ e^{ipj}(2\cos p)a - v_1 b & \text{if } j \text{ is odd} \end{cases}$$

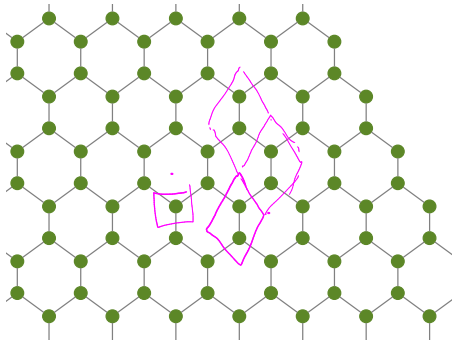
$$h_p = \begin{pmatrix} v_1 & 2\cos p \\ 2\cos p & -v_1 \end{pmatrix} \quad \sigma(H)$$



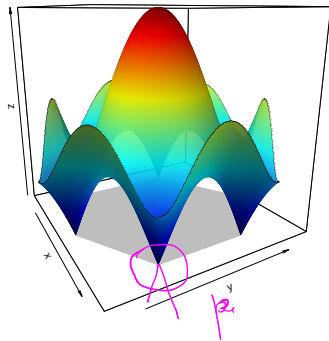
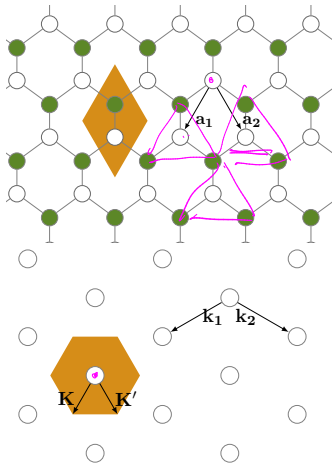
Graphene



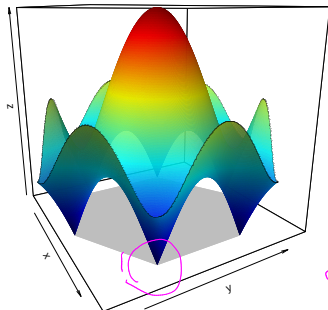
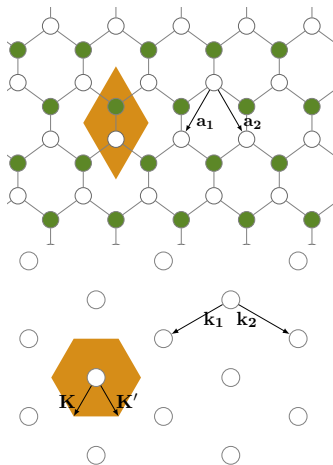
André Geim and
Constantin Novoselov.



Band structure of Graphene

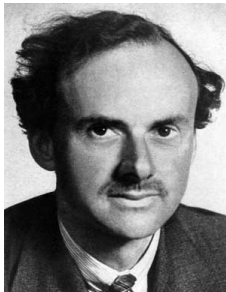
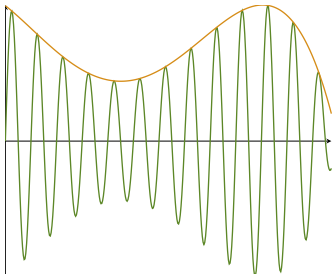


Band structure of Graphene - Tight-binding model



Wallace (1949), see also recent papers of Fefferman & Weinstein, Comech & Berkolaiko

Dirac - finally

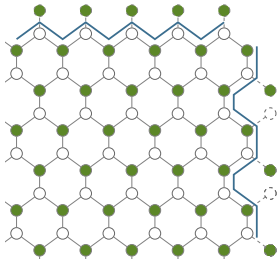


$$\mathcal{H} = L^2(\mathbb{R}^2, \mathbb{C}^2)$$

$$D = -i \begin{pmatrix} 0 & \partial_x - i\partial_y \\ -\partial_x + i\partial_y & 0 \end{pmatrix}$$

Dirac - in bounded domains ?

$$D = -i \begin{pmatrix} 0 & \partial_x - i\partial_y \\ -\partial_x + i\partial_y & 0 \end{pmatrix}$$



Muchas Gracias !